

## ADDITIONAL APPLICATION FORM

### Timing Considerations:

The NWQ Fiduciary Fund (Fund) Unit price is calculated monthly on the Valuation date. The Application price (the price the investor will invest at) is calculated based on the Unit price for the month after taking into account the Buy Spread of 0.05%.

The Fund administrator, Apex, must receive the completed Additional Application Form and cleared monies prior to the monthly cut off which is **before 4:00pm a full 5 business days before** the last business day of the month.

Applications and cleared monies received by the cut off are then eligible to be processed on the next Dealing Day, which is the first business day of the following month. Your units in the Fund will be issued on this Dealing Day and you will be issued a Contract Note on or around the 15<sup>th</sup> of the month confirming the Application price and number of units held. For applications received in June this process will be delayed due to end of financial year processing.

If the Additional Application Form and cleared monies are received after the cut-off, the application will be held over to be processed for the following month.

### Steps to complete an Additional Application for units in the Fund:

Step #	Completed By	Description	Note
1	Investor	Complete Additional Application Form	Complete this Additional Application Form and sign where indicated.
2	Investor	Transfer Application Amount to the Fund's Bank Account	Cleared monies must be received by the cut off which is <b>before 4:00pm a full 5 business days</b> before the last business day of the month. If received after this, the application will receive the price calculated for the next month.
3	Investor	Email Completed Additional Application Form	Email a scanned, completed and signed Additional Application Form to the Fund administrator, Apex, to the email address provided on the Additional Application Form. This Additional Application Form must be received by the cut off which is <b>before 4:00pm a full 5 business days</b> before the last business day of the month. If received after this, the application will receive the price calculated for the next month.
4	Fund Administrator (Apex)	Acknowledgement of Receipt of Form by Email	The Fund administrator, Apex, will acknowledge receipt of the Additional Application Form via email and will advise whether all is in order for processing.
5	Fund Administrator (Apex)	Issue Contract Note	The Fund administrator, Apex, will email a Contract Note confirming the transaction for your records. <b>Typically on or around the 15<sup>th</sup> of the month.</b>

### For any queries, please contact:

Shareholder Services Group  
Apex Fund Services (Sydney) Pty Ltd  
Tel: (02) 7201 9015 | Email: [ssg.aus@apexfs.com](mailto:ssg.aus@apexfs.com)

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Bank details for investment are:

**Account Name:** NWQ Capital Management Pty Ltd ATF NWQ Fiduciary Fund  
**BSB N°:** 332 027  
**Account N°:** 554 185 174  
**Bank Institution:** St George Bank  
**Your Reference:** [please use the name of the investor]

For any queries, please contact:

Shareholder Services Group  
 Apex Fund Services (Sydney) Pty Ltd  
**Tel: (02) 7201 9015 | Email: [ssg.aus@apexfs.com](mailto:ssg.aus@apexfs.com)**

<b>Fund Name</b>	NWQ Fiduciary Fund
<b>Investor Name</b>	
<b>Investor N°</b>	
<b>Application Amount</b>	\$

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**Authorised Signature**

\_\_\_\_\_  
**Name**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Authorised Signature**

\_\_\_\_\_  
**Name**

\_\_\_\_\_  
**Date**

Once completed, please email to:  
[ssg.aus@apexfs.com](mailto:ssg.aus@apexfs.com)